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13  
14 **SUPERIOR COURT OF THE STATE OF CALIFORNIA**  
15 **FOR THE COUNTY OF ALAMEDA**

16 PILLIOD, et al.

17 Plaintiffs,

18 vs.

19 MONSANTO COMPANY,

20 Defendant.

Case No. RG17862702

ASSIGNED FOR ALL PURPOSES TO  
JUDGE WINIFRED SMITH  
DEPARTMENT 21

**MONSANTO COMPANY'S**  
**MEMORANDUM OF POINTS AND**  
**AUTHORITIES IN SUPPORT OF ITS**  
**MOTION FOR JUDGMENT**  
**NOTWITHSTANDING THE VERDICT**

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1 **I. INTRODUCTION**

2 Monsanto has produced glyphosate-based herbicides (hereinafter “Roundup”) in the United  
3 States and much of the world for more than 40 years. Roundup has a safety record supported by a  
4 body of studies more extensive than almost any other chemical in regular use anywhere. These  
5 studies include rigorous registration studies required by the U.S. EPA and European regulators to  
6 demonstrate the safety of Roundup, as well as many studies by independent scientists. Based on  
7 these studies, regulators across the world have concluded on multiple occasions, after multi-year  
8 evaluations and risk assessments, that glyphosate is *not* a human carcinogen.

9 These facts highlight why a jury verdict proclaiming that Roundup caused Plaintiffs’ cancer  
10 and that Monsanto’s behavior in relying on the science and the regulators was so egregious to warrant  
11 a \$2 billion punitive damages award requires exceptional scrutiny. That verdict cannot be sustained  
12 for the multiple reasons discussed below. Monsanto therefore seeks judgment notwithstanding the  
13 verdict (“JNOV”) because the evidence was insufficient to support the verdict on any of Plaintiffs’  
14 claims and directed verdict should have been granted in Monsanto’s favor.

15 **II. LEGAL STANDARD**

16 A party is entitled to JNOV when “there is no evidence of sufficient substantiality to support”  
17 the jury’s verdict. *See Magic Kitchen LLC v. Good Things Int’l, Ltd.*, 153 Cal. App. 4th 1144, 1154  
18 (2007); *see also* Cal. Code Civ. Proc. § 629(a). The plaintiff must “produce evidence which supports  
19 a logical inference in his favor and which does more than merely permit speculation or conjecture.”  
20 *Jones v. Ortho Pharm. Corp.*, 163 Cal. App. 3d 396, 402 (1985) (affirming nonsuit where plaintiff  
21 failed to demonstrate medication caused cancer).

22 **III. ARGUMENT**

23 **A. Monsanto Is Entitled to JNOV on All of Plaintiffs’ Claims Because Plaintiffs**  
24 **Failed to Present Sufficient Evidence that Roundup Caused Their NHL.**

25 All of Plaintiffs’ claims fail for lack of reliable causation testimony. *See Jones*, 163 Cal. App.  
26 3d at 403. Plaintiffs were required to show that “but for” their exposure to Roundup, they would not  
27 have developed their diseases. *See* CACI 430 (“Conduct is not a substantial factor in causing harm if  
28

1 the same harm would have occurred without that conduct.”).

2 Plaintiffs’ specific causation experts (Drs. Weisenburger, Nabhan, and Sawyer) failed to  
3 reliably opine that Roundup was a substantial factor in causing Plaintiffs’ NHL. *See Sargon Enter.,*  
4 *Inc. v. Univ. of S. Cal.*, 55 Cal. 4th 747, 771, 772 (2012) (testimony that is “speculative,”  
5 “unreliable,” and fails “to employ[] in the courtroom the same level of intellectual rigor that  
6 characterizes the practice of an expert” cannot support a verdict). Instead, they admitted that  
7 Plaintiffs could have developed their cancers even if they had never been exposed to Roundup.  
8 Declaration of Eugene Brown (“Brown Decl.”) at Ex. A, Trial Transcript (“Tr.”) 4166:22-4168:25.  
9 Drs. Weisenburger and Nabhan both relied on an unapologetically results-oriented differential  
10 diagnosis methodology designed solely for this courtroom. *See, e.g.*, Tr. 4377:16-21 (Dr. Nabhan  
11 and Dr. Weisenburger’s differential diagnoses were inconsistent with World Health Organization  
12 guidelines for identifying the cause of lymphomas); Tr. 5170:20-5171:9, 5172:5-6 (Levine explaining  
13 that Plaintiffs’ experts’ methodology is “not a valid methodology,” and not used in practice).<sup>1</sup>

14 1. Drs. Weisenburger and Nabhan Failed to Reliably “Rule In” Roundup.

15 Drs. Nabhan and Weisenburger professed to rely on “three pillars” of science—epidemiology,  
16 animal studies, and mechanistic data—to “rule in” Roundup as the cause of Plaintiffs’ NHL. But  
17 their testimony shows that they relied exclusively on just a few self-serving epidemiology studies and  
18 did not rely on animal toxicology studies or mechanistic studies. Tr. 2892:10-2893:24 (Dr.  
19 Weisenburger admitted he did not independently review animal data or mechanistic data); Tr.  
20 4055:19-23 (Dr. Nabhan admitted he is not an expert in animal studies). As for epidemiology, both  
21 experts relied primarily on Eriksson (2008) and data subsumed in the North American Pooled Project  
22 (“NAPP”) for “ruling in” Roundup as the cause of Plaintiffs’ NHL. Tr. 2833:1-25.

23 For epidemiology to be probative of specific causation, it must demonstrate a minimum risk  
24 ratio of 2.0. *See Cooper v. Takeda Pharm. Am., Inc.*, 239 Cal. App. 4th 555, 593 (2015) (“When . . .

25 \_\_\_\_\_  
26 <sup>1</sup> Dr. Sawyer’s testimony alone is inadequate to submit causation to the jury because he “defer[red]” to Drs.  
27 Weisenburger’s and Nabhan’s differential diagnoses and “did not look at whatever other possible alternative causes  
28 [Plaintiffs] may have or not have.” Tr. 3259:5-21. And although Dr. Sawyer testified as to Plaintiffs’ exposure, he did  
not provide any dose of exposure.



1 epidemiological studies are offered to prove specific causation . . . under California law those  
2 analyses must show a relative risk greater than 2.0 to be ‘useful’ to the jury.” (quoting *Daubert v.*  
3 *Merrell Dow Pharm. Inc.*, 43 F.3d 1311, 1320 (9th Cir. 1995)). Because “[a] relative risk of 2.0  
4 implies a 50% probability that the agent at issue was responsible for a particular individual’s  
5 disease,” *Cooper*, 239 Cal. App. 4th at 593-94, “[a] relative risk of less than two . . . actually tends to  
6 disprove legal causation,” *Daubert*, 43 F.3d at 1321.

7 None of the data relied upon by Plaintiffs reliably satisfies the minimum 2.0 risk ratio. It is  
8 undisputed that adjusting for multiple pesticides improves the accuracy of the data, because  
9 unadjusted studies can introduce confounders into the analysis. Tr. 2906:10-23. Many of the studies  
10 did not find a statistically significant risk ratio of 2.0 or higher; and those that did were not adjusted  
11 for other pesticides. For example, Eriksson (2008) did not adjust for other confounding pesticides  
12 and showed no statistically significant result at or above 2.0 when adjusted. Tr. 2834:4-9; 2910:23-  
13 2911:5. The same is true for Hardell (2002), which incorporated the data from Hardell (1999). Tr.  
14 3915:22-3920:2, 3922:10-3924:16; 4099:8-4100:8. With respect to Plaintiffs’ specific NHL  
15 subtypes, Drs. Nabhan and Weisenburger pointed to no data reporting a risk ratio of 2.0 or greater  
16 that is statistically significant when adjusted for other pesticides.

17 The experts also examined several studies that pooled data to increase their reliability and  
18 statistical power. Tr. 2733:23-2734:6; 4099:8-4100:3. Not one of those studies support a risk ratio of  
19 2.0 or more. The NAPP data reported an “overall relative risk . . . [of] 1.13” and “no increased risk  
20 for NHL following glyphosate exposure.” Tr. 2959:7-15.<sup>2</sup> Dr. Weisenburger also admitted that Leon  
21 (2019), the largest and most recent pooled-cohort study available, shows no increased risk of NHL  
22 generally and only a 1.36 risk ratio for the DLBCL subtype. Tr. 2960:1-4; 2982:6-11. Similarly, the  
23

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24 <sup>2</sup> Nabhan and Weisenburger specifically relied on McDuffie (2001) and DeRoos (2003), which is data that has been  
25 subsumed by NAPP data to increase its power and reliability. Tr. 4100:17-4101:7. But even viewed in isolation, the  
26 McDuffie and DeRoos (2003) data does not reliably comport with *Cooper*. McDuffie was unadjusted for other  
27 pesticides. Tr. 2834:4-9, 4098:4-8. When another paper, Hohenadel, used the McDuffie data but controlled for  
28 maliathon, it showed no increased risk. Tr. 2908:4-2910:10. And DeRoos (2003) studied 47 pesticides but only  
captured 36 exposed Roundup cases, resulting in “sparse data bias.” Tr. 4860:7-24. When compared with the vast  
epidemiological data available, which in totality includes thousands of exposed cases, one small and flawed study alone  
cannot support causation as a matter of law.

1 most recent meta-analysis that Plaintiffs’ experts relied on, Zhang, showed only a 1.4 risk ratio. Tr.  
2 2732:15-2733:10. Even ignoring the much better studies relied upon by Monsanto’s experts, which  
3 show *no* increased risk of NHL, Plaintiffs’ *best* evidence does not support a risk ratio of 2.0 or higher.

4 2. Drs. Weisenburger and Nabhan Failed to State a “Reasoned Explanation” Why  
5 They Ruled Out Plaintiffs’ Alternative Risk Factors.

6 Drs. Weisenburger and Nabhan further failed to provide “a reasoned explanation illuminating  
7 why” they ruled out Plaintiffs’ alternative risk factors that were supported by substantial evidence,  
8 including risk ratios equal to or in excess of those for glyphosate. *See Cooper*, 239 Cal. App. 4th at  
9 578, 585-86 (requiring experts to exclude “alternative explanation[s] for the disease”). Both experts  
10 agreed, for example, that “there’s something about aging which increases the chances or likelihood”  
11 of obtaining NHL five-or-six fold after the age of 65, which Mr. and Mrs. Pilliod are. Tr. 2866:11-  
12 14, 2867:8-12; 4134:7-11. Thus, Plaintiffs had to provide a reasoned explanation ruling out unknown  
13 or age-related causes, which they did not. Each Plaintiff also had numerous individual risk factors.

14 ***Mrs. Pilliod’s Negative t(14;18) Translocation:*** Plaintiffs cannot justify Dr. Weisenburger’s  
15 and Dr. Nabhan’s disregard of testing showing that Mrs. Pilliod’s t(14;18) chromosome translocation  
16 was negative, which means that Mrs. Pilliod’s smoking history and not Roundup exposure was the  
17 most likely cause of her NHL according to Dr. Weisenburger’s own research. Tr. 2806:12-17,  
18 2808:1-25, 2810:1-2811:15. Because Plaintiffs cannot patch this methodological hole, Plaintiffs have  
19 implored that “it is not for the Court to resolve such fact-intensive questions.” Nonsuit Opp. at 9.  
20 But, it is this Court’s responsibility to ensure that any jury verdict is supported by admissible  
21 evidence that comports with *Sargon*. *See Jones*, 163 Cal. App. 3d at 403 (“The fact that a  
22 determination of causation is difficult to establish cannot, however, provide a plaintiff with an excuse  
23 to dispense with the introduction of some reasonably reliable evidence proving this essential element  
24 of his case.”). The decision of Drs. Weisenburger and Nabhan to bury this genetic testing guts any  
25 reliable basis for their causation opinion for Mrs. Pilliod. Tr. 2807:1-4 (Q. And you knew sitting  
26 there all morning that Mrs. Pilliod had a negative t(14;18) translocation; right? A. Yes). Dr.  
27 Weisenburger flatly admitted that people, like Mrs. Pilliod, who were “exposed to herbicides for  
28

1 more than 17 years” but were t(14;18) negative had “no increased risk of NHL” (Tr. 2803:1-6), and  
2 conversely that people, like Mrs. Pilliod, who were t(14;18) negative but smoked tobacco starting  
3 before age 20 had a statistically significant doubling of the risk of NHL (Tr. 2815:10-18). Given  
4 these admissions, the evidence was not sufficient to support the jury’s finding that Mrs. Pilliod’s  
5 NHL was caused by exposure to Roundup.

6 In addition, Mrs. Pilliod had several other significant risk factors for NHL that neither Dr.  
7 Nabhan nor Dr. Weisenburger adequately ruled out: a personal history of cancer (which more than  
8 doubles the risk of later having NHL); a family history of cancer; Hashimoto’s disease (which triples  
9 the risk of NHL); and obesity. Tr. 2851:2-2855:18; 2867:21-2869:2; 4388:22-4389:13.

10 ***Mr. Pilliod’s Alternative Risk Factors:*** Drs. Weisenburger and Nabhan failed to reliably rule  
11 out Mr. Pilliod’s alternative risk factors of ulcerative colitis, genital warts, and recurrent skin cancers.  
12 Ulcerative colitis is an incurable disease that “for men” poses an “overall risk” for developing NHL  
13 that is “statistically significant [of] 1.5.” Tr. 2857:13-15; 2864:10-12. Dr. Weisenburger, however,  
14 “didn’t even know” Mr. Pilliod was diagnosed in 2006 with “inflammatory bowel most consistent  
15 with ulcerative colitis” until shown the pathology report during cross-examination. Tr. 2859:16-19.  
16 He thus could not have even considered this risk factor when he did his differential diagnosis and  
17 could not have formed an informed, reliable opinion. Drs. Weisenburger and Nabhan likewise failed  
18 to explain how they were able to exclude the statistically significant risk ratio of 3.0 to 3.1 in men,  
19 like Mr. Pilliod, who have experienced recurrent genital warts. Tr. 2884:5-8; 2885:13-16; 2887:22-  
20 2888:3. Finally, the experts’ offhand dismissals of Mr. Pilliod’s extensive history of prior skin  
21 cancers is not a reasoned explanation for excluding his many skin cancers as the risk factor that most  
22 contributed to his NHL. Tr. 2783:3-13. The epidemiology is replete with data that “confirm an  
23 increased risk of NHL in patients with recurrent skin cancer” by showing risk ratios between 2.0 and  
24 3.0 that Mr. Pilliod’s experts failed to deal with in any meaningful manner. Tr. 2877:5-2879:3.

25 3. Drs. Weisenburger and Nabhan Failed to Rule Out Unknown Causes.

26 Finally, Drs. Nabhan and Weisenburger failed to offer any “reasoned explanation” for ruling  
27 out unknown causes. Dr. Weisenburger testified that 70% of NHL cases result from unknown  
28

1 causes, and Dr. Nabhan agreed with that assessment. Tr. 2791:15-20; 4163:15-21. Yet, neither  
2 expert ruled out idiopathic causes for any reason other than that Plaintiffs had been exposed to  
3 Roundup, which is both results-driven and nonsensical given the epidemiology ratios. Tr. 2889:24-  
4 2890:14 (for a hypothetical person with Mr. Pilliod’s same medical history “who hasn’t been exposed  
5 to Roundup, [he] would say [he] has no idea why that person developed NHL” but for Mr. Pilliod  
6 “[he’d] say it’s got to be the Roundup”).

7 This type of litigation-oriented opinion is precisely why “differential etiologies are . . . only  
8 valid if . . . a substantial proportion of competing causes are known.” Federal Jud. Center, Reference  
9 Manual on Scientific Evidence (3d ed. 2011) pp. 617-618, footnote omitted (Brown Decl. Ex. V).  
10 “Thus, for diseases [like NHL] for which the causes are largely unknown, . . . a differential etiology  
11 is of little benefit.” *Id.* Plaintiffs’ experts’ differential etiology testimony on NHL is fundamentally  
12 unreliable, and as a result, the jury’s verdict that Roundup caused Plaintiffs’ NHLs is not supported  
13 by sufficient evidence. *See, e.g., Hall v. Conoco Inc.*, 886 F.3d 1308, 1314 (10th Cir. 2018) (finding  
14 that “because the evidence had pointed to idiopathic causes in most cases of acute myeloid  
15 leukemia,” “the district court could reasonably view the failure to rule out idiopathic causes as fatal  
16 error tainting the differential diagnosis.”). Because of Plaintiffs’ many risk factors, and the fact that  
17 at least 70% of NHL cases are idiopathic, the most likely cause of Plaintiffs’ NHL must be unknown.

18 **B. Monsanto Is Entitled to JNOV On Plaintiffs’ Warnings Claims.**

19 To prove their warnings-based claims<sup>3</sup> under California law, Plaintiffs were required to  
20 present competent evidence that Roundup’s alleged risk of NHL was “known or knowable in light of  
21 the generally recognized and prevailing best scientific and medical knowledge” at the time that  
22 Monsanto distributed the Roundup that allegedly caused their injuries. *Anderson v. Owens-Corning*  
23 *Fiberglas Corp.*, 53 Cal. 3d 987, 1002 (1991); *see also* CACI 1205 (plaintiff must prove that the  
24 product had risks “that were known or knowable in light of the scientific knowledge that was  
25 generally accepted in the scientific community at the time of [manufacture/distribution/sale]”). Here,

26 <sup>3</sup> A finding of no liability on the strict liability theory *necessarily* establishes no liability on a negligent failure-to-warn  
27 theory based on the same facts. *See, e.g., Trejo v. Johnson & Johnson*, 13 Cal. App. 5th 110, 132-33 (2017).

1 the latest relevant time of distribution was 2011 for Mr. Pilliod and early 2015 for Mrs. Pilliod, and  
2 Plaintiffs failed to prove that the risk of NHL from exposure to Roundup was “known or knowable”  
3 at that time, or anytime thereafter.

4 Any exposure or distribution of the product after Plaintiffs were diagnosed with NHL is  
5 irrelevant because it could not have caused or contributed to the development of their NHL. Mr.  
6 Pilliod was diagnosed with DLBCL in 2011 and Mrs. Pilliod was diagnosed with PCNSL in the first  
7 half of 2015. Tr. 3772:6-10; 4157:23-4159:2. And Mrs. Pilliod testified that she sprayed only “a  
8 little” Roundup from 2012-2015. Tr. 3740:6-14; 3794:22-3795:1. Thus, at the very latest,  
9 Monsanto’s duty to warn cannot be judged later than 2011 for Mr. Pilliod or later than early 2015 for  
10 Mrs. Pilliod. Furthermore, Dr. Sawyer based his opinions on Mr. and Mrs. Pilliod’s exposure to  
11 Roundup that allegedly caused their cancers from 1982 to 2012. Tr. 3246:11-3247:15; 3264:15-19;  
12 3273:13-18. Although Mrs. Pilliod testified that she sprayed “a little” Roundup after 2012, Plaintiffs  
13 did not quantify *any* alleged exposure after 2012 and thus did not present competent evidence that  
14 exposure after 2012 was sufficient to cause or contribute to their injuries.<sup>4</sup>

15 Plaintiffs argue that the risk of NHL was “knowable” as early as the 1980s because some  
16 animal studies showed an increase in tumors. But the existence of studies demonstrating a possible  
17 risk does *not* make the risk generally accepted.<sup>5</sup> See *Rosa v. City of Seaside*, 675 F. Supp. 2d 1006,  
18 1014 (N.D. Cal. 2009) (rejecting argument that risk was “knowable,” despite the existence of

19 <sup>4</sup> Plaintiffs have argued that “Monsanto’s liability should be judged at least up to when the Pilliods ceased using the  
20 product.” See Pls.’ Opp. to Nonsuit at 2. By Plaintiffs’ own admission, that date was 2011. Plaintiffs admitted in their  
21 Complaint that they sprayed Roundup “between 1975 and 2011.” First Am. Compl. ¶ 14. It is black-letter law that “a  
22 pleader is bound by well pleaded material allegations.” *Valerio v. Andrew Youngquist Construction*, 103 Cal. App. 4th  
23 1264, 1271 (2002). When “complaints. . . contain allegations of fact in support of a claim or defense, the opposing  
24 party may rely on the factual statements as judicial admissions.” *Myers v. Trendwest Resorts, Inc.*, 178 Cal. App. 4th  
25 735, 746 (2009). And “[f]acts established by pleadings as judicial admissions are conclusive concessions of the truth of  
those matters, are effectively removed as issues from the litigation, and *may not be contradicted by the party whose*  
*pleadings are used against him or her.*” *Id.* Such an admission will bind a party throughout litigation, including at  
trial. See *Womack v. Lovell*, 237 Cal. App. 4th 772 (2015). Mr. and Mrs. Pilliod are thus bound by their admission in  
the operative Complaint that they each used roundup “between 1975 and 2011.” First Am. Comp. ¶ 14. Therefore, the  
latest possible relevant time of distribution for purposes of Plaintiffs’ claims is 2011.

26 <sup>5</sup> Numerous courts have acknowledged that it is problematic to rely on animal studies alone in determining  
27 carcinogenicity. See, e.g., *In re Agent Orange*, 611 F. Supp. 1223, 1241 (E.D.N.Y. 1985) (animal studies are  
28 “generally viewed with more suspicion than epidemiological studies . . . .”); *In re Silicone Gel Breast Implants*, 318 F.  
Supp. 2d 879, 912 (C.D. Cal. 2004) (“The animal studies . . . do not support any conclusion about specific causation.”).

1 literature suggesting that risk could exist, because risk was not “generally recognized” or “prevailing”  
2 in the scientific and medical community, and a duty to warn required that it be both). CACI makes  
3 clear that a risk is not “knowable in light of ‘the generally accepted’ scientific knowledge” and does  
4 not give rise to a duty to warn if it is a *minority view* that is not “the ‘prevailing’ or ‘best’ scientific  
5 view.” *See* CACI 1205, Directions for Use. Rather, a duty to warn arises only when a risk is “(1)  
6 generally recognized (2) as prevailing in the relevant scientific community, and (3) represents the  
7 best scholarship available.” *Id.* (emphasis added)).

8 Plaintiffs failed to meet their burden of presenting evidence that, in 2011 or before, it was the  
9 “prevailing” or “generally accepted” view in the scientific community that exposure to Roundup  
10 carried a risk of NHL. In fact, they did not present evidence of a single scientific or regulatory body  
11 that had concluded that glyphosate was a likely human carcinogen at that time. To the contrary, the  
12 uncontroverted evidence established that until the IARC monograph was published in 2015—*after*  
13 *the exposure they claim caused their harm and after the onset of their cancers*—every single  
14 regulatory agency that had examined the prevailing science had determined there was insufficient  
15 evidence that glyphosate could cause cancer in herbicide users, and no scientific organization had  
16 ever classified glyphosate as a probable human carcinogen. *See, e.g.,* Tr. 1926:13-25. Plaintiffs’  
17 own general causation expert, Dr. Christopher Portier, testified that even he did not come to the  
18 opinion that glyphosate was a carcinogen at any time prior to 2015. Tr. 1902:6-9.

19 Plaintiffs’ failure to provide proof that, in 2011 or earlier, it was the prevailing, generally  
20 accepted view in the scientific community that exposure to glyphosate carries a risk of NHL  
21 necessitates entry of JNOV on their failure-to-warn claims. The evidence is undisputed that no  
22 scholarship, much less the “best scholarship available,” at the time Plaintiffs were exposed to  
23 Roundup established that there was a causal link between NHL and exposure to glyphosate or  
24 Roundup. The conclusion that the prevailing science did not require a warning about NHL was thus  
25 not just a majority view; it was the only view.

26 Even if the Court were to consider what was known or knowable after the relevant time  
27 period, it would not alter the analysis because Plaintiffs did not offer competent evidence that the  
28

1 2015 IARC hazard assessment determination was or is the prevailing or generally accepted view in  
2 the scientific community. To the contrary, after IARC published its Monograph, numerous scientific  
3 and regulatory agencies worldwide re-assessed their views, rejected IARC’s classification, and re-  
4 affirmed their prior conclusions that glyphosate is not likely to be a carcinogen.<sup>6</sup> Moreover, IARC  
5 conducts only a hazard assessment, meaning that it “does not establish the exposure conditions that  
6 would pose cancer risks to individuals in their daily lives.” Tr. 2225:19-24. At most, Plaintiffs  
7 presented evidence of a new, minority view in the scientific community that emerged in 2015 and has  
8 since been repeatedly rejected by regulators looking at the actual risk from real world  
9 exposure.<sup>7</sup> Indeed, it is now four years after the IARC Monograph on glyphosate was published, and  
10 IARC’s views still have not been adopted by worldwide regulators. As CACI makes clear, a  
11 minority view does *not* make a risk immediately “known or knowable” in order to require a duty to  
12 warn. *See* CACI 1205, Directions for Use.

13 **C. Monsanto Is Entitled to JNOV On Plaintiffs’ Design-Defect Claims.**

14 For both their strict liability and negligent design claims, Plaintiffs must prove that there was  
15 a defect in the design of Roundup and that the defect caused their harm. *See Trejo v. Johnson &*  
16 *Johnson*, 13 Cal. App. 5th 110, 142 (2017) (“A design defect exists when the product is built in  
17 accordance with its intended specifications, but the design itself is inherently defective.”); *Lambert v.*  
18 *General Motors*, 67 Cal. App. 4th 1179, 1186 (1998).

19 This is fundamentally not a design-defect case. Rather, Plaintiffs presented evidence about  
20 Monsanto’s failure to warn about an alleged health risk. Nevertheless, if Plaintiffs’ design-defect  
21 claim is that glyphosate causes cancer, it is invalid under California law for two reasons. First,

22 \_\_\_\_\_  
23 <sup>6</sup> *See, e.g.*, Tr. 1899:23-1900:1 (ECHA); 1900:3-10; 4082:22-4083:4 (Australian Pesticides and Veterinary Medicines  
24 Authority); 1900:11-23; 4075:14-20 (Health Canada); 1929:22-1930:2 (EFSA); 1953:7-15 (EFSA & ECHA); 1970:14-  
16 (EPA); 4081:11-4082:6 (New Zealand).

25 <sup>7</sup> *See, e.g.*, Tr. 2247:15-19 (Plaintiffs’ causation expert Dr. Jameson testifying that scientists at ECHA, EFSA, EPA,  
26 Health Canada, and Australia disagree with him); Tr. 1900:11-1901:1 (As of 2017, no pesticide regulatory authority  
27 considered glyphosate to be a carcinogenic risk of concern to humans). Plaintiffs argue that IARC was a prevailing  
28 view because 94 scientists agreed with it. The fact that 94 of the world’s millions of scientists expressed agreement  
with IARC does not make it the “prevailing” view in the scientific community in light of the overwhelming  
disagreement with IARC by the world’s regulators; rather, that is the epitome of a minority view.

1 California has adopted comments j and k of the Restatement (Second) of Torts 402A. Comment k  
2 provides that a manufacturer of an “unavoidably unsafe product” is liable only if it failed to warn of a  
3 defect that it either knew or should have known. *See Brown v. Superior Court*, 44 Cal. 3d 1049, 1059  
4 (1988). If Plaintiffs are arguing that Roundup is unavoidably unsafe, then their design-defect claim is  
5 precluded by California law. *See id.* at 1060; *Oaks v. E.I. Du Pont de Nemours & Co.*, 272 Cal. App.  
6 2d 645 (1969). Second, imposing liability on Monsanto based on Roundup’s use of glyphosate, a  
7 raw ingredient, would be the same as imposing categorical liability, which is not allowed under  
8 California law. *See Poosh v. Philip Morris USA, Inc.*, 904 F. Supp. 2d 1009, 1025-26 (N.D. Cal.  
9 2012) (applying California law and rejecting that cigarette company could be liable for defective  
10 design of cigarettes because “[t]aken to its logical conclusion, the argument . . . would mean that the  
11 only remedy for this alleged design defect would be a ban on the manufacture and sale of any  
12 cigarettes.”).

13 To get around these legal deficiencies, Plaintiffs have tried to articulate a design-defect claim  
14 based on the combination of glyphosate and the surfactants used in Roundup. But any claim based  
15 on that theory fails because Plaintiffs have put forth *no* evidence that the specific *design* of Roundup  
16 caused their harm; in other words, they failed to demonstrate that they would not have contracted  
17 NHL if they had used a different glyphosate-based formulation or if Roundup used an alternative  
18 surfactant. *See O’Neil v. Crane Co.*, 53 Cal. 4th 335, 347 (2012) (“A bedrock principle in strict  
19 liability law requires that ‘the plaintiff’s injury must have been caused by a ‘defect’ in the  
20 [defendant’s] product.”); *see also Browne v. McDonnell Douglas Corp.*, 698 F.2d 370, 371 (9th Cir.  
21 1982); *Poosh*, 904 F. Supp. 2d at 102.

22 Neither of Plaintiffs’ specific causation experts, Dr. Nabhan nor Dr. Weisenburger, testified  
23 that Roundup, as opposed to glyphosate on its own, caused Plaintiffs’ NHL. Tr. 2891:12-15. The  
24 only expert who gave any meaningful testimony about the formulation was Dr. Sawyer. And  
25 although Dr. Sawyer testified that certain surfactants are safer than the POEA used in Roundup, his  
26 testimony was far from sufficient to establish that Plaintiffs’ cancer was caused by Monsanto’s use of  
27 POEA as opposed to a different surfactant. He did not testify that Plaintiffs’ cancer would have been  
28



1 avoided had Monsanto used a different formulation. His testimony focused on his opinion that  
2 Roundup is more genotoxic than glyphosate, meaning that it can cause damage to DNA. Tr.  
3 1700:13-15. But genotoxicity is different than carcinogenicity, and just because something is  
4 genotoxic does not mean that it will lead to cancer. Tr. 1983:1-24. Moreover, he could not reliably  
5 opine as to whether the formulation caused Plaintiffs' NHL because he is not an oncologist or  
6 medical doctor of any sort, and he admittedly did not consider Plaintiffs' other risk factors. Tr.  
7 3259:5-9. Dr. Sawyer did not conduct a differential diagnosis, determine that Roundup should be  
8 ruled in, or rule out alternative causes. In short, Plaintiffs have not demonstrated that Roundup's  
9 *design* caused their NHL. And in any event, the evidence was not sufficient to prove that glyphosate  
10 or Roundup causes NHL generally.

11 Plaintiffs' design-defect claims fail for the additional reason that this case does not qualify for  
12 the consumer expectations test, which was the only strict liability theory Plaintiffs pursued. The  
13 consumer expectations test is "reserved for cases in which the everyday experience of the product's  
14 users permits a conclusion that the product's design violated minimum safety assumptions." *Trejo*,  
15 13 Cal. App. 5th at 156. "[W]hen the ultimate issue of design defect calls for a careful assessment of  
16 feasibility, practicality, risk, and benefit, the case should not be resolved simply on the basis of  
17 ordinary consumer expectations [because] ' . . . in many instances it is simply impossible to eliminate  
18 the balancing or weighing of competing considerations in determining whether a product is  
19 defectively designed or not . . . .'" *Id.* In a jury case, "the trial court must initially determine as a  
20 question of foundation, within the context of the facts and circumstances of the particular case,  
21 whether the product is one about which the ordinary consumer can form reasonable minimum safety  
22 expectations." *Saller v. Crown Cork & Seal Co., Inc.*, 187 Cal. App. 4th 1220, 1233 (2010).

23 The consumer expectations test cannot be applied to the facts here because this case involves  
24 complex scientific details about how Roundup works *and* expert testimony about the "effect of the  
25 product upon [Plaintiffs'] health." *See Trejo*, 13 Cal. App. 5th at 156. In short, the ultimate issue of  
26 design defect in this case "calls for a careful assessment of feasibility, practicality, risk, and benefit";  
27 accordingly, the consumer expectations test does not apply, and JNOV must be granted on Plaintiffs'  
28

1 design-defect claims.<sup>8</sup>

2 **D. Monsanto Is Entitled to JNOV on Plaintiffs' Negligence Claims.**

3 Plaintiffs' negligent failure-to-warn and negligent design claims also fail for the independent  
4 reason that they have presented no evidence whatsoever about the appropriate standard of care. *See*  
5 CACI 1220-1222; *Stephen v. Ford Motor Co.*, 134 Cal. App. 4th 1363, 1367 (2005). Plaintiffs did  
6 not present *any* evidence—expert or otherwise—of the standard of care allegedly applicable to  
7 Monsanto or that a reasonable manufacturer under the same or similar circumstances would have  
8 designed the product differently or warned of the alleged risk. Accordingly, Plaintiffs have not  
9 established that Monsanto acted unreasonably or contrary to the applicable standard of care.

10 **E. Monsanto is Entitled to JNOV on Punitive Damages.**

11 To recover punitive damages, Plaintiffs had to prove by clear and convincing evidence that  
12 Monsanto committed malice, oppression, or fraud. Civ. Code § 3294. California law “does not favor  
13 punitive damages and they should only be granted with the greatest of caution,” *Dyna-Med, Inc. v.*  
14 *Fair Emp't & Hous. Comm'n.*, 43 Cal. 3d 1379, 1392 (1987), and in the “clearest of cases,”  
15 *Henderson v. Sec. Nat'l. Bank*, 72 Cal. App. 3d 764, 771 (1977). Plaintiffs must prove punitive  
16 damages by clear and convincing evidence, which requires proof that “leave[s] no substantial doubt  
17 [and is] sufficiently strong to command the unhesitating assent of every reasonable mind.” *In re*  
18 *Angelia P.*, 28 Cal. 3d 908 (1981); *Shade Foods, Inc. v. Innovative Prod. Sales & Mktg., Inc.*, 78 Cal.  
19 App. 4th 847, 891 (2000). Plaintiffs failed to meet this burden. Thus, if the Court does not grant  
20 JNOV with respect to liability, it should at the very least grant partial JNOV in Monsanto's favor on  
21 the issue of punitive damages. *See Beavers v. Allstate Ins. Co.*, 225 Cal. App. 3d 310, 323 (1990)  
22 (holding that court has authority to grant partial JNOV on punitive damages if there is no substantial  
23 evidence to support punitive award).

24  
25 \_\_\_\_\_  
26 <sup>8</sup> Plaintiffs' negligent design claims fail for the same reasons as their strict liability design defect claims. *See Lambert*  
27 *v. General Motors*, 67 Cal. App. 4th 1179, 1185 (1998) (“Where liability depends on the proof of a design defect, no  
28 practical difference exists between negligence and strict liability; the claims merge. Even if [defendant] did not test the  
[product] and did not warn customers . . . in the absence of a defect, those omissions would not be important. Testing,  
for example, would not disclose the existence of a defect where there is none.” (citations omitted)).

1           1.     Plaintiffs Did Not Present Clear and Convincing Evidence Sufficient to  
2                     Establish that Monsanto Acted with Malice, Oppression or Fraud.

3           In light of the scientific and regulatory evidence discussed above, Plaintiffs cannot meet their  
4     punitive-damages burden in connection with Monsanto’s decision to develop, market, and sell  
5     Roundup, or its failure to warn consumers of alleged carcinogenicity. Monsanto’s reliance on a  
6     worldwide regulatory safety consensus was reasonable corporate conduct and nothing close to the  
7     “despicable” conduct required to support punitive damages. Indeed, Plaintiffs’ expert Dr. Nabhan  
8     acknowledged that, even today, reasonable people can disagree about whether glyphosate should be  
9     classified as a carcinogen. Tr. 4072:20-4073:2. This concession should preclude an award of  
10    punitive damages. Even if the jury disagrees with the experts at EPA, EFSA, ECHA, Health Canada,  
11    the Australian Pesticides and Veterinary Medicines Authority, and other respected agencies, the  
12    record cannot possibly support a finding of *clear and convincing* evidence that Monsanto acted with  
13    *malice or oppression* simply for selling a product that expert regulators believed, and still believe, is  
14    safe for human use. Such evidence precludes any possible finding that Monsanto “intended” to cause  
15    harm to anyone or acted despicably.

16           On top of these facts, the specific evidence Plaintiffs highlighted in this case does not come  
17    close to clear and convincing evidence of “despicable” conduct in which Monsanto consciously  
18    disregarded probable danger. Plaintiffs highlight the following acts or omissions:

- 19           • Monsanto’s supposed failure to perform follow-up genotoxicity studies recommended by Dr.  
20            Parry in 1999. Tr. at 1365:1-1374:6, 3586:6-3594:2.
- 21           • Monsanto’s alleged “ghostwriting” of toxicology papers for Dr. Williams in 2000 and the  
22            Intertek papers in 2016. Tr. 1374:7-1376:21; Reeves Dep. at 458:15-466:13 (Brown Decl.  
23            Ex. B); Heydens Dep. at 29:24-36:20 (Brown Decl. Ex. F); Koch Dep. at 295:13-297:18  
24            (Brown Decl. Ex. G).
- 25           • Monsanto’s supposed efforts to play “whack-a-mole” in response to scientific findings on  
26            glyphosate (Goldstein Dep. at 73:14-75:7 (Brown Decl. Ex. E)), to “orchestrate an outcry”  
27            against IARC, (Tr. 1398:7-1400:20; Goldstein Dep. at 135:20-136:11), and to otherwise  
28            “discomfort” its opposition (Murphey Dep. 204:21-210:7 (Brown Decl. Ex. I)).
- Monsanto’s allegedly improper communications with Jess Rowland and others at EPA and  
          other regulatory agencies. Reeves Dep. at 715:22-725:13 (Brown Decl. Ex. B).
- Monsanto failed to do carcinogenicity studies on Roundup. Reeves Dep. at 183:1-185:21  
          (Brown Decl. Ex. B).

1 Many of these assertions are untrue and are contradicted by the evidence, and the remaining  
2 allegations, even if true, do not amount to clear and convincing evidence of “despicable” conduct.

3 For instance, the evidence showed that Monsanto *did* complete many of the studies  
4 recommended by Dr. Parry in an accredited laboratory and either submitted them to the EPA or, in  
5 some instances, published the results. Martens Dep. at 212:4-230:2 (Brown Decl. Ex. H) The  
6 evidence further showed that in every case of alleged “ghostwriting,” Monsanto’s contributions were  
7 either publicly identified or did not rise to the level warranting authorship or recognition. Heydens  
8 Dep. at 376:3-409:17. The evidence also showed that Monsanto did not engage in any wrongdoing in  
9 its responses to the scientific findings on glyphosate from IARC and other sources or in its  
10 communications with Jess Rowland, EPA, or any other regulatory agency. Goldstein Dep. at 129:14-  
11 133:15, 135:20-136:11; Reeves Dep. 715:27-721:20. Instead, the company’s conduct in anticipating  
12 the IARC decision was consistent with a company that truly believes its product to be safe.  
13 Furthermore, Monsanto’s actions and communications in response to these scientific findings amount  
14 to protected speech under the First Amendment. Monsanto cannot be punished for the exercise of  
15 these constitutionally-protected rights. *See, e.g., ONY, Inc. v. Cornershote Therapeutics, Inc.*, 720  
16 F.3d 490, 497 (2d Cir. 2013) (“statement[s] . . . made as part of an ongoing scientific discourse about  
17 which there is considerable disagreement” are not actionable); *Underwager v. Salter*, 22 F.3d 730,  
18 736 (7th Cir. 1994) (“Scientific controversies must be settled by the methods of science rather than  
19 by the methods of litigation.”); *Ludwig v. Superior Court*, 37 Cal. App. 4th 8, 21 (1995) (“Those who  
20 petition the government are generally immune from . . . liability.”).<sup>9</sup> Furthermore, the evidence  
21 demonstrated that Monsanto would, and did, warn of risks associated with Roundup when those risks  
22 were known. Indeed, the Roundup label *does* warn about certain risks that are generally accepted in  
23 the scientific community. For example, Dr. Sawyer testified that “[g]lyphosate is well-known as an  
24 irritant *and even labeled as such.*” Tr. 3146:17-18. Finally, the evidence showed that Monsanto has  
25 not done a long-term carcinogenicity study on Roundup because such a study is impossible. Martens  
26 Dep. at 205:9-207:15.

27 <sup>9</sup> Plaintiffs admit that the evidence surrounding Jess Rowland is largely irrelevant to punitive damages. Tr. 4298:20-24.

1                   2.       The Punitive Damages Award Violates Due Process.

2           Plaintiffs’ punitive damages arguments, which are premised almost entirely on alleged  
3   conduct that occurred before or after the time period of distribution that allegedly caused Plaintiffs’  
4   harm, cannot support an award of punitive damages without violating Monsanto’s federal due process  
5   rights. In their Opposition to Monsanto’s Motion for Nonsuit, Plaintiffs contend that “post-2012  
6   evidence is on its face relevant to the continuing conscious disregard of GBHs’ NHL risk.” *See* Pls.’  
7   Opp. to Nonsuit at 2. However, this argument ignores well-established law on punitive damages. A  
8   punitive damage award cannot be premised on “conduct that bore no relation to the [Plaintiffs’]  
9   harm.” *State Farm Mut. Auto. Ins. Co. v. Campbell*, 538 U.S. 408, 422-23 (2003) (“A defendant’s  
10   dissimilar acts, independent from the acts upon which liability was premised, may not serve as the  
11   basis for punitive damages. A defendant should be punished for the conduct that harmed the  
12   plaintiff, not for being an unsavory individual or business.”). Indeed, “[p]unitive damages are not  
13   simply recoverable in the abstract. They must be tied to oppression, fraud or malice in the conduct  
14   which gave rise to liability in the case.” *Medo v. Super. Ct.*, 205 Cal. App. 3d 64, 68 (1988).

15           There is no evidence providing a causal nexus between Plaintiffs’ diagnosis of NHL in 2011  
16   and 2015 and any of Monsanto’s actions that occurred after the onset of Plaintiffs’ NHL or before  
17   Roundup was distributed to Plaintiffs. Monsanto’s alleged efforts to “ghostwrite” the 2016 Intertek  
18   papers (Reeves Dep. 458:15-18, 460:3-466:13), “orchestrate an outcry” to the IARC Monograph  
19   (Goldstein Dep. 129:14-133:15, 135:20-136:11), communicate with EPA employee Jess Rowland  
20   (Reeves Dep. 715:22-722:17), and numerous other pieces of evidence introduced by Plaintiffs in this  
21   case cannot serve as the basis for punitive damages.

22           The excessive punitive damages award in this case violates due process for the additional  
23   reason that Monsanto has already been punished *twice* for the same alleged conduct. In considering  
24   the propriety of punitive damages, the court should consider whether “there is the likelihood of  
25   several jury-imposed punitive damage awards, each of which is sufficient to punish in the entirety for  
26   the misconduct involved.” *Delos v. Farmers Grp., Inc.*, 93 Cal. App. 3d 642, 667 (1979). Both past  
27   and likelihood of future punitive damages are relevant in calculating the adequate amount of punitive  
28

1 damages. *Stevens v. Owens-Corning Fiberglas Corp.*, 49 Cal. App. 4th 1645, 1661 (1996) (“Punitive  
2 damages previously imposed for the same conduct are relevant in determining the amount of punitive  
3 damages required to sufficiently punish and deter. The likelihood of future punitive damage awards  
4 may also be considered, although it is entitled to considerably less weight.” (citations omitted)).

5 Here, no punitive damages are necessary to punish or deter, as Monsanto has already been  
6 ordered to pay over \$100 million in punitive damages in the *Johnson* and *Hardeman* cases. *See*  
7 *Johnson v. Monsanto Co.*, Case No. CGC-16-550128 (Cal. Super. Ct. August 18, 2018); *Hardeman*  
8 *v. Monsanto Co.*, Case No. 3:16-cv-0525-VC (N.D. Cal. May 3, 2019).

9 3. There Was No Evidence That Monsanto Employees Were Managing Agents.

10 Plaintiffs’ claims for punitive damages also fail because they have not identified any  
11 wrongdoing by Monsanto’s officers, directors, or managing agents. *See* Cal. Civ. Code § 3294(b). A  
12 “managing agent” under section 3294(b) is limited to employees with “broad discretion” that  
13 “determine[] corporate policy,” *Egan v. Mutual of Omaha Ins. Co.*, 24 Cal. 3d 809, 822-23 (1979),  
14 and who “exercise[] substantial discretionary authority over significant aspects of a corporation’s  
15 business.” *White v. Ultramar, Inc.*, 21 Cal. 4th 563, 572, 577 (1999); *see also Kelly-Zurian v. Wohl*  
16 *Shoe Co.*, 22 Cal. App. 4th 397, 422 (1994) (supervisory employee is not a “managing agent” unless  
17 he or she also has authority to establish or change the company’s business policies).

18 Plaintiffs provided no evidence that any of Monsanto’s witnesses in this case were “managing  
19 agents.” Nor did Plaintiffs prove that there was a specific company policy that was malicious. There  
20 was no evidence whatsoever that any Monsanto employee at any time thought Roundup causes  
21 cancer. To the contrary, numerous company witnesses testified as to the safety protocols that  
22 Monsanto follows, and that the company has time and time again found the product to be safe.

23 **F. Plaintiffs Failed to Present Admissible Evidence To Support Mrs. Pilliod’s**  
24 **Future Economic Damages.**

25 Mrs. Pilliod’s entire future economic damage case was based on her purported need for a  
26 lifetime supply of Revlimid. But Mrs. Pilliod failed to prove the amount she or her insurer are likely  
27 to pay for that drug with admissible, competent evidence. *See S.C. Anderson, Inc. v. Bank of Am.*, 24  
28

1 Cal. App. 4th 529, 537-38 (1994); *Howell v. Hamilton Meats & Provisions, Inc.*, 52 Cal. 4th 541  
2 (2011); *Corenbaum v. Lampkin*, 215 Cal. App. 4th 1308 (2013). Instead, she only introduced  
3 evidence of the general price of Revlimid that bore no relationship to what she or her insurance  
4 company might actually pay for the drug. Tr. 3981:1-4, 4201:14-17, 4202:12-14, 4201:21-24. Based  
5 on her failure to make this showing, if JNOV is not granted on Plaintiffs' causes of action, then the  
6 judgment awarding Mrs. Pilliod \$2,957,710 in future economic damages must be vacated.

7 **G. Monsanto is Entitled to JNOV on All of Plaintiffs' Claims Because They Are**  
8 **Preempted By Federal Law (FIFRA).**

9 1. Express Preemption

10 The Federal Insecticide, Fungicide, and Rodenticide Act ("FIFRA") has an express  
11 preemption clause that prohibits States from imposing "any requirements for labeling or packaging"  
12 that are "in addition to or different from" FIFRA's requirements. 7 U.S.C. §§ 136a(c), 136v(b). In  
13 *Bates v. Dow Agrosciences LLC*, the Supreme Court established a two-part "parallel-requirements"  
14 test to determine whether a state-law claim is pre-empted by FIFRA: (1) the state requirement must  
15 be *for labeling or packaging*, and (2) it must impose a labeling or packaging requirement that is *in*  
16 *addition to or different from* FIFRA's requirements. 544 U.S. 431 (2005). Plaintiffs' claims satisfy  
17 both parts of the *Bates* test and are expressly preempted.

18 First, *Bates* makes clear that common law failure-to-warn claims "qualify as 'requirements for  
19 labeling or packaging'" as defined in § 136v(b). *Bates*, 544 U.S. at 446. Here, Plaintiffs' claims for  
20 negligence and strict liability failure to warn were based on purported deficiencies in Roundup's  
21 labeling. Thus, the first prong of the *Bates* test is satisfied. *Id.*; *see also Wilgus v. Hartz Mountain*  
22 *Corp.*, No. 3:12-CV-86, 2013 WL 653707, at \*6 (N.D. Ind. Feb. 19, 2013) (expressly preempting  
23 claims of breach of implied warranty, strict product liability, and negligence based on an alleged  
24 failure to warn).

25 As for the second prong of the *Bates* test, failure-to-warn claims under California law impose  
26 different and additional requirements than FIFRA. Whereas FIFRA requires warnings only about  
27 risks associated with "widespread and commonly recognized" uses, 7 U.S.C. § 136(q)(1)(F) & (G),  
28

1 136a(c)(5)(D), California law requires warnings about all potential risks that are “reasonably  
2 foreseeable,” CACI No. 1205; *see also Saller v. Crown Cork & Seal Co.*, 187 Cal. App. 4th 1220,  
3 1230 n.7 (2010). Reasonably foreseeable uses encompass a much broader category of uses than just  
4 those that are “widespread and common[.]” *See, e.g., Bunch v. Hoffinger Indus., Inc.*, 123 Cal. App.  
5 4th 1278, 1303 (2004) (applying California’s reasonable foreseeability test, which requires a  
6 manufacturer to “anticipate” potential and hypothetical uses of its product when deciding on  
7 appropriate label). Accordingly, the second prong of the *Bates* test is also satisfied, and Plaintiffs’  
8 warnings-based claims are expressly preempted by FIFRA.

9 2. Impossibility Preemption

10 Plaintiffs’ claims are also preempted by FIFRA under the doctrine of impossibility  
11 preemption because Monsanto cannot make the label or design changes Plaintiffs seek without prior  
12 EPA approval. Federal law preempts state law “where it is ‘impossible for a private party to comply  
13 with both state and federal requirements.’” *Mutual Pharm. Co. v. Bartlett*, 570 U.S. 472 (2013); *see*  
14 *also Whistler Invs., Inc. v. Depository Tr. & Clearing Corp.*, 539 F.3d 1159, 1166 (9th Cir. 2008).  
15 “The question for ‘impossibility’ is whether the private party could independently do under federal  
16 law what state law requires of it.” *PLIVA, Inc. v. Mensing*, 564 U.S. 604 (2011). Of particular  
17 relevance for this case, a state tort claim is preempted if it seeks product changes that cannot be made  
18 without first obtaining the approval of a federal regulatory agency. *Wyeth v. Levine*, 555 U.S. 555,  
19 568-73 (2009); *Pliva, Inc. v. Mensing*, 564 U.S. 604, 617-24 (2011); *Bartlett*, 570 U.S. at 480. Thus,  
20 “[i]f a private party . . . cannot comply with state law without first obtaining the approval of a federal  
21 regulatory agency, then the application of that law to that private party is preempted.” *Gustavsen v.*  
22 *Alcon Labs., Inc.*, 903 F.3d 1, 9 (1st Cir. 2018); *see also Trejo v. Johnson & Johnson*, 13 Cal. App.  
23 5th 110, 152-53, n.22 (2017).

24 Monsanto moved for summary judgment on this ground, arguing that Monsanto needed prior  
25 approval from EPA to make any label or design changes to Roundup, and that there is clear evidence  
26 that EPA would reject any design or label changes. In its order denying summary judgment, the  
27 Court found “that there are triable issues of material fact whether Monsanto is entitled to judgment on  
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1 [its] impossibility defense” that there is “clear evidence” EPA would have rejected a label change  
2 adding a cancer warning to Roundup. Brown Decl. Ex. P, 3/18/2019 Order (citing *In re Fosamax*  
3 *(Alendronate Sodium) Products Liability Litigation*, 852 F.3d 268, 299 (3rd Cir. 2017)). Yet, the  
4 Court refused Monsanto’s proposed jury instruction that would permit the jury to make that factual  
5 finding. Tr. 4767:25-4770:23 (rejecting Monsanto’s proposed special instructions concerning EPA).

6 After the trial in this matter concluded, the United States Supreme Court issued an opinion  
7 holding that the issue of impossibility preemption is one for the court to decide—not a jury. *See*  
8 *Merck Sharp & Dohme Corp. v. Albrecht*, No. 17-290, 2019 WL 2166393, 587 U.S. \_\_\_\_ (May 20,  
9 2019). The Supreme Court clarified that the relevant inquiry is whether the relevant federal and state  
10 laws “irreconcilably conflict.” *Id.* at \*8. Because it is now clear under *Albrecht* that courts must  
11 decide the issue of impossibility preemption, the Court here should find that Plaintiffs’ claims are  
12 preempted as a matter of law. *See id.*; *see also Dolin v. GlaxoSmithKline LLC*, 901 F.3d 803, 816  
13 (7th Cir. 2018) (“As a matter of law, this is what [Wyeth] called ‘clear evidence’ that the FDA would  
14 have rejected the warning that plaintiff seeks under Illinois law.”).

15 The evidence amply demonstrated that the warnings proposed by Plaintiffs irreconcilably  
16 conflict with federal law because Monsanto must obtain approval from the EPA for any label change,  
17 and the EPA has considered and rejected the risk that Roundup is carcinogenic on multiple occasions.  
18 *See* Monsanto’s 3/19/2019 RJN, Exhibits 1 and 2 (EPA’s 2016 Glyphosate Issue Paper and 2017  
19 Revised Glyphosate Issue Paper), Monsanto’s 4/4/2019 Supp. RJN, Exhibit 1 (EPA’s 1993  
20 Registration Eligibility Decision (RED) Glyphosate), Exhibit 5 (EPA’s 2015 Cancer Assessment  
21 Review Committee), Exhibits 6-9 (federal register statements concerning glyphosate from 1999,  
22 2002, 2008, and 2013). *See Seufert v. Merck Sharp & Dohme Corp.*, 187 F. Supp. 3d 1163, 1169  
23 (S.D. Cal. 2016) (“The FDA’s repeated conclusion that scientific data did not support warning of  
24 pancreatic cancer risk coupled with the FDA’s statement that product labeling was adequate amounts  
25 to clear evidence that the FDA would have rejected a pancreatic cancer labeling change.”); *Dobbs v.*  
26 *Wyeth Pharm.*, 797 F. Supp. 2d 1264, 1276-77 (W.D. Okla. 2011) (the FDA’s “repeated conclusions  
27 . . . that there was no scientific evidence to support a causal connection between [selective serotonin  
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1 reuptake inhibitors] and suicidality in adult patients” constituted “clear evidence that the FDA would  
2 have rejected” an expanded warning for suicide). Furthermore, in light of EPA’s findings, Monsanto  
3 would be in violation of FIFRA’s misbranding provisions if it added the warning that Plaintiffs claim  
4 California law requires. *See* 7 U.S.C. §§ 136(q), 136j; *see also Nat’l Ass’n of Wheat Growers v.*  
5 *Zeise*, 309 F. Supp. 3d 842 (E.D. Cal. 2018) (enjoining Proposition 65’s warning requirement as to  
6 glyphosate on First Amendment grounds because such warning would be “misleading to the ordinary  
7 consumer”). It cannot comply with both federal and state law.

8         Indeed, on April 23, 2019, EPA issued its Proposed Interim Registration Review Decision on  
9 Glyphosate concluding that “EPA did not identify any human health risks from exposure to any use  
10 of glyphosate.” Monsanto’s 5/1/2019 RJN, Exhibit A at pg. 35. EPA, however, identified ecological  
11 risk due to off-target spray that it is remediating by “proposing that herbicide resistance language be  
12 added to all glyphosate labels” and other “certain labeling clean-up/consistency efforts to bring all  
13 glyphosate labels up to modern standards.” *Id.* EPA acted on its own to propose label changes to  
14 remedy ecological risks it identified during its registration review process, yet declared it “did not  
15 identify any human health risks from exposure to any use of glyphosate” and expressly repudiated  
16 IARC’s glyphosate classification and public comments criticizing its 2016 and 2017 Draft  
17 Glyphosate Assessments. This is clear evidence that EPA has not, and would not, approve a label  
18 amendment to include a cancer warning; thus, Plaintiffs’ warnings claims are preempted.<sup>10</sup>

19 **IV. CONCLUSION**

20         For all the reasons stated herein, the Court should grant Monsanto’s motion for judgment  
21 notwithstanding the verdict and enter judgment in favor of Monsanto and against Plaintiffs.

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<sup>10</sup> Although the jury did not hear this evidence, the Court can consider it in determining whether Plaintiffs’ claims were  
preempted as a matter of law since the question of preemption is one for the Court, not the jury.

1 Dated: June 17, 2019

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